

#5

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))	
--	--

Docket No.

04995/02200

In Re Application Of: Yoshihiro YAMANISHI

Serial No.

Filing Date

July 24, 2001

Examiner

Group Art Unit

Title:

RECEIVER FOR DIGITAL BROADCASTING

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

JC 097 U.S. PT
09/912441
07/24/01



37 CFR 1.97(b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:
 1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,whichever occurs first.

Also submitted herewith is:

a certification as specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
04995/022001

In Re Application Of: Yoshihiro YAMANISHI

Serial No.

Filing Date

July 24, 2001

Examiner

Group Art Unit

Title: RECEIVER FOR DIGITAL BROADCASTING

JCP997 U.S.P.T.O.
09/912441
07/24/01

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of _____ is attached.
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 500-591 as described below. A duplicate copy of this sheet is enclosed.
 - Charge the amount of _____
 - Credit any overpayment.
 - Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) on _____
(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

*This certificate may only be used if paying by deposit account.


Signature

Jonathan P. Osha, Reg. No. 33,986
ROSENTHAL & OSHA L.L.P.
700 Louisiana, Suite 4550
Houston, Texas 77002

Telephone: (713) 228-8600
Facsimile: (713) 228-8778

Dated:

7/24/01

CC:

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Yoshihiro YAMANISHI

Docket No.

04995/022001

Serial No.

Filing Date

July 24, 2001

Examiner

Group Art Unit

Invention: **RECEIVER FOR DIGITAL BROADCASTING**JC997 U.S. PT
09/912441
07/24/01

I hereby certify that the following correspondence:

Information Disclosure Statement

(Identify type of correspondence)

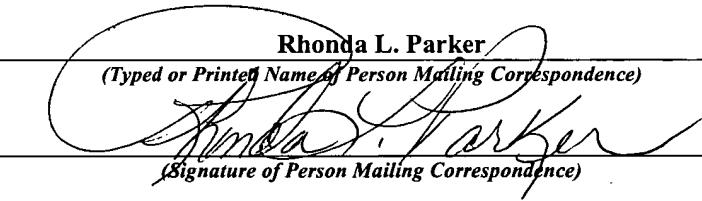
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

July 24, 2001

(Date)

Rhonda L. Parker

(Typed or Printed Name of Person Mailing Correspondence)


(Signature of Person Mailing Correspondence)**EL656798199US**

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Docket Number (Optional)

04995/022

Application Number

十一

Applicant(s)
Yoshihiro YAMANISHI

Filing Date

July 24, 2001

Group Art Unit

U.S. PATENT DOCUMENTS

07/24/01
09/91241
U.S. POSTAL SERVICE

FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
A1	11-8807	01/12/99	Japan				✓
A2	10-191194	07/21/98	Japan				✓
A3	61-257084	11/14/86	Japan				✓

OTHER DOCUMENTS (*Including Author, Title, Date, Pertinent Pages, Etc.*)

Page 10 of 10

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant